

O-1 OVERVIEW

The O nonimmigrant category is for the employment of persons who have achieved and sustained national or international acclaim for extraordinary ability in the sciences, arts, education, business, or athletics. Extraordinary ability is defined by the US Citizenship and Immigration Services as ability that shows a “person is one of the small percentage who have arisen to the very top of the field of endeavor.”

O-1 is a category that is rarely used by The University of Alabama because of the large amount of evidence necessary for approval of the O-1. In many instances, H-1B is a much more appropriate employment visa category. An O-1 will not be considered unless other options are not feasible. Final determination of whether or not to pursue O-1 sponsorship will be made by the Capstone International Services.

To be considered eligible for O-1, the international applicant must have a job-offer from The University of Alabama. Only the University of Alabama’s Capstone International Services may petition for the O-1 approval. Employment approval is granted through the U.S. Citizenship and Immigration Services and is job specific as well as employer and department specific.

International applicants and employees who are subject to the 212(e) two-year home residency requirement may be sponsored for O-1, but if the applicant is currently in the US in J visa status, the applicant must travel outside of the U.S. and apply for an O-1 visa in order to obtain O-1 visa status, since J visa holders who have a 212(e) requirement cannot change status to O-1 within the United States.

The O-1 status may be granted initially for up to a three-year period, thereafter O-1s can be extended one year at a time with no maximum limitation. Workers in the O-1 category can fill permanent positions, but must leave the country at the end of their authorized period of stay. A person in O-1 status is considered to have dual-intent with regards to immigration. This means that while in O-1 status, workers may file for a change to another immigration category such as Permanent Residency if they are not subject to the 212(e) 2-year home residency requirement.

TIMING OF FILING – PLAN UP TO 1 YEAR IN ADVANCE

At the earliest, the Capstone International Services may submit an O-1 petition to US Citizenship and Immigration Services 1 year before the intended beginning effective date of the O-1. Due to the long evidence collection process, it is recommended that departments begin any new O-1 application as close to 1 year in advance of the intended start date as possible. Extensions and amendments may be filed 6 months in advance. The minimum time needed to prepare an O-1 case after submission of all evidence to Capstone International Services is 1 month.

If timing is a critical issue such as with a new professor hired in the summer months who must begin work at the beginning of the fall semester, departments have the option of filing for Premium Processing. Premium Processing guarantees a decision on an O-1 petition within 15 days of the receipt by US Citizenship and Immigration Services of the I-129, O-1 application. The cost of Premium Processing is \$1225 in addition to the regular \$460 application fee for O-1 applications.

FILING FEES

All O-1 Applications require an I-129 filing fee of \$460. Because the sponsorship of the O-1 is by The University of Alabama, the department which is sponsoring the O-1 application must pay the \$460 fee. Additionally, if Premium Processing is being sought for an expedited service, there is an additional filing fee of \$1225.

CHANGE OF O-1 EMPLOYER – TRANSFER TO UA OR CONCURRENT O-1 EMPLOYMENT

If a hiring department wishes to sponsor an individual who is already in the U.S. in O-1 status with another employer, The University of Alabama must file a petition for change of employer with USCIS. In order for the individual to remain in the U.S. in O-1 status, USCIS must receive the new petition, with \$460 filing fee, before the individual's last day working for the previous employer. Furthermore, in order for the O-1 employee to start working at The University of Alabama, the university must receive the final I-797 Approval Notice for O-1 employment from USCIS. Work may not begin until the final approval notice is received. The documentation required from the department and employee for the change of employer petition is the same as that of a new petition.

CHANGES IN TERMS OF EMPLOYMENT OR EARLY TERMINATION

Departments *must* consult with Capstone International Services prior to changing an O-1's terms of employment (including changes to job duties or department) to determine whether an amended petition is required.

Please inform the Capstone International Services immediately if an O-1 worker's employment is terminated so that the Capstone International Services can notify USCIS, effectively withdrawing the O-1. Because O-1 status is an employment-based status, the worker's visa status ends immediately upon termination. If the department terminates employment, the department must pay the reasonable cost of return transportation to the O-1 employee's last place of foreign residence. **The department will continue to be liable for paying the individual's full salary and benefits if the O-1 is not withdrawn.**

EXTENSION OF O-1 EMPLOYMENT

The process for requesting an extension of O-1 status is the same as for the initial period of O-1 employment; however, beyond the initial 3 years of O-1 sponsorship, extensions are limited to 1 year at a time. The extension petition must be filed prior to the current approved O-1 status end date to avoid interruptions in employment. The employee may continue to work for a period of 240 days while an extension petition is pending, as long as it is timely filed before the current end date. (*Please note:* An extension of O-1 status allows the employee to continue working in the U.S.; however, it does not extend his or her O-1 entry visa, which is required for re-entry into the U.S. after traveling abroad. If the O-1 employee wishes to travel outside the U.S. after the expiration date of his or her current entry visa, he or she must apply for a new entry visa at a U.S. consulate.)

O-1 TRAVEL AND POSSIBLE VISA DELAYS ABROAD

O-1 employees should contact Capstone International Services for information on travel requirements. O-1 visa application requirements can vary by consular location, therefore, please refer to the particular United States consulate/embassy that you will visit. A list of embassy websites is available at <http://www.usembassy.gov/>. Employees and departments should be aware that security checks, which are part of visa processing, may delay an employee's return to the U.S.

ESTABLISHING EXTRAORDINARY ABILITY

Any applicant for O-1 must meet three basic requirements:

1. The applicant has extraordinary ability within one of the five broad fields: the sciences, arts, education, business, or athletics.
2. The applicant's ability has been demonstrated by sustained national or international acclaim.
3. The applicant is coming to the U.S. to continue working in his or her area of extraordinary ability.

ESTABLISHING EXTRAORDINARY ABILITY IN SCIENCE, EDUCATION, BUSINESS, AND ATHLETICS:

Basis A: Receipt (not just a nomination) of an award with the caliber of the Nobel Prize.

Basis B: Documentation showing extraordinary ability: An O-1 applicant can also establish extraordinary ability by submitting documentation in at least three (preferably four) of the categories listed below:

- Receipt of major prizes or awards for outstanding achievement in the field of endeavor;
- Membership in associations in the field in which the O-1 applicant claims extraordinary ability. Membership must require their members to demonstrate outstanding achievements;
- Published material in professional publications written by others about the alien's work in the field in which the O-1 applicant claims extraordinary ability;
- Participation, either on a panel or individually, as a judge of the work of others in the same or allied field in which the O-1 applicant claims extraordinary ability;
- Original scientific, scholarly, or business-related contributions in the field (as shown by letters of attestation and support from colleagues and other experts in the field);
- Authorship of scholarly books or articles (in scholarly journals with international circulation) in the field or in other major media;
- Evidence that the applicant has been employed in a critical or essential capacity for organizations and establishments that have a distinguished reputation;
- Evidence that the applicant has either commanded a high salary or will command a high salary or other remuneration for services, as shown by contracts or letters of employment.

ESTABLISHING EXTRAORDINARY ABILITY IN THE ARTS:

Basis A: Receipt (not just a nomination) of an award with the caliber of the Academy Award, Grammy, Emmy, or Director's Guild Award.

Basis B: Documentation showing extraordinary ability: An O-1 applicant can also establish extraordinary ability by developing and submitting documentation in at least three of the categories listed below:

- Evidence that the applicant has and will perform services as a lead or starring participant in productions which have a distinguished reputation;
- Evidence that the applicant has and will perform in a lead, starring, or critical role for organizations and establishments that have a distinguished reputation;
- Published material in major newspapers, trade journals, magazines, or other publications written by others about the applicant's achievements and national or international recognition;
- Evidence of major or critically acclaimed successes as evidenced by such indicators as title, rating, standing in the field, box office receipts, motion picture or television ratings, and other occupational achievements reported in trade journals, major newspapers, or other publications;
- Evidence that the applicant has received significant recognition for achievements from organizations, critics, government agencies, or other recognized experts in the field in which the applicant is engaged. (as shown by letters of attestation and support from colleagues and other experts in the field);
- Evidence that the applicant has either commanded a high salary or will command a high salary or other remuneration for services, as shown by contracts or letters of employment.

**ESTABLISHING EXTRAORDINARY ABILITY CONTINUED:
ADDITIONAL EVIDENCE NEEDED FROM THE DEPARTMENT:**

The University of Alabama must also seek the advisory opinion of an appropriate U.S. peer group and obtain a written advisory opinion regarding the nature of the work to be done and the O-1 applicant's qualifications for the position. Capstone International Services will assist with meeting this requirement.

DEPARTMENT PROCESSING PROCEDURES

- 1. Request O-1 processing** (please complete Appendix A): To initiate the O-1 process, the department should complete the Appendix A section of this packet, providing details on the job position and a copy of the appointment letter (if already prepared).
- 2. Complete the Deemed Export Form** (please complete Appendix B). In support of your request for O-1 sponsorship, the Capstone International Services is now required to certify on the USCIS I-129 petition whether or not a license for export controlled technology or technical data is necessary for the professor/researcher being sponsored. To facilitate this requirement, all host departments are required to complete an attestation as to whether or not the O-1 worker will require a license for export controlled technology or technical data.
- 3. Complete the letter of O-1 support** (please see Appendix C template). Please note that the maximum length of time for which an O-1 can be requested at initially is three years, and extensions are limited to 1-year per request.
- 4. Process Check Request for USCIS Fees** (please see Appendix D).
- 5. Send documents to the Capstone International Services:** The O-1 Request (Appendix A), the Deemed Export Form (Appendix B), and the letter of support (Appendix C) may be sent as soon as they are complete. Please send all documents to Capstone International Services, 105 B.B. Comer Hall.

PROSPECTIVE O-1 EMPLOYEE PROCEDURES

- 1. Provide immigration information and history** (please complete Appendix E): Once the department initiates the O-1 sponsorship process by submitting the Appendix A forms and Appointment Letter, Capstone International Services will contact the O-1 beneficiary to obtain the biographical information, immigration documents, proof of qualifications (diploma, CV, licenses), and family information (if applicable) required for the O-1 petition.
- 2. Evidence of Extraordinary Ability** - The international applicant must submit significant evidence of their extraordinary ability, detailed on pages 3 and 4 of this packet.
- 3. O-3 Dependent Sponsorship.** If a prospective O-1 employee has dependent family members (spouse or children) whom the O-1 employee would like to sponsor for O-3 visa status, the O-1 employee will need to consult with Capstone International Services about processing the O-3 visa support. For sponsorship of dependents who are already in the US to either extend or obtain O-3 status, the prospective O-1 employee will need to submit documents for concurrent filing with the O-1 petition. For dependents who are currently outside of the US and will enter the US in O-3 status at a later date, Capstone International Services can advise on how they may obtain O-3 status abroad.

CAPSTONE INTERNATIONAL SERVICES PROCESSING

Once Capstone International Services receives the required materials from the department and applicant, we will complete the necessary forms and send the petition to the USCIS for processing. Prior to submission of the O-1 Petition, Capstone International Services will evaluate all submitted materials for the O-1 Application.

When the O-1 petition is approved, USCIS will send the I-797 Approval Notice to the Capstone International Services. The applicant, the department, and Human Resources will be notified of the approval. The original O-1 I-797 Approval Notice will be given to the applicant, and copies will be kept on file at CIS and HR.

Upon receiving the Approval Notice (I-797) from the USCIS, the Capstone International Services will notify the employee and ask him or her to come to report to the Center to go over necessary immigration information. If the individual is abroad awaiting the approval notice in order to apply for an O-1 entry visa at a U.S. consulate, the Capstone International Services will contact the department to discuss mailing options in order to supply the O-1 worker with the necessary materials for the visa interview.

PART 1: POSITION INFORMATION – TO BE COMPLETED BY DEPARTMENT

**PLEASE ENTER INFO ABOUT POSITION MINIMUM REQUIREMENTS,
NOT EMPLOYEE'S QUALIFICATIONS**

1. Position Title : _____
2. Salary: _____ Per calendar year OR Per academic year
3. Hours/ Range of hours per week: _____ 4. FTE (.50 – 1.00): _____
*if below 1.00, see Part4, #5 of the Acknowledgement of Responsibility
5. Dates of proposed O-1 sponsorship (3 years allowed initially, with 1-year extensions):
From _____ to _____
6. List all worksites for this position: The University of Alabama Main Campus, Tuscaloosa, AL
Building and Room #: _____
 Other location: _____
7. Description of basic job duties - please list the absolute minimum duties/tasks to be performed, using non-technical terms
(For non-teaching, staff positions, please also attach the HR Job Posting):

8. Absolute **MINIMUM** qualifications required to perform the duties/tasks of this position:
- Level of higher education required: Bachelor's Master's Doctorate Other _____
 - State license or certificate required?: Yes or No
 - Degree major(s)/specialty(ies) required: _____
 - Absolute **minimum** number of years of employment experience required for the position: _____
 - Other minimum requirements, if any: _____
 - Position's **minimum** required training and experience (other than that which would or could have been obtained during the normal course of the degree program listed above) Please quantify required training and experience in number of months/years (if none please write none): _____

9. **MINIMUM** number of employees (not including students or grad assistants) the alien must supervise: _____
10. Is travel required? Yes or No
11. Are there any other working conditions that affect the rate of pay? Yes or No
If so, please explain: _____
12. Occupational title of the alien employee's immediate supervisor: _____

PART 2: PROSPECTIVE EMPLOYEE/DEPARTMENT INFORMATION – TO BE COMPLETED BY DEPARTMENT

1. Prospective O-1 employee name: _____
Family Name (Last) Given Name (First) Middle Name (if any)
2. Prospective O-1 employee's Email Address: _____
3. Degree(s) which the prospective O-1 Employee holds: _____
4. Hiring Department/ Unit: _____
5. School/ Division: _____
6. Contact Person: _____ 7. Email: _____
8. Telephone: _____ 9. Fax: _____
10. Campus Mailing Address: Box _____ Building/Room: _____
11. SHIPPING: For express shipping, please provide UPS account number or FOAP: _____

PART 3: ACKNOWLEDGEMENT OF RESPONSIBILITY & LEGALLY BINDING EMPLOYER ATTESTATIONS

By signing below, I attest that the above information is true to the best of my knowledge. I authorize Capstone International Services to seek approval from the US Citizenship and Immigration Services for an O-1 temporary visa on behalf of the above named individual (in Part 2).

Furthermore, I attest that The University of Alabama will comply with the following statements as required by regulations issued by the US Department of Homeland Security and Department of Labor.

(From US Immigration Service 8 CFR 214.2 (o)(16) & 8 CFR 214.2 (o)(14) (i-iii))

- 1) That the O-1 worker will also be paid for non-productive time unless contract is for a specified time period common to the industry such as 9 month academic appointments;
- 2) That the O-1 worker will be offered benefits on the same basis as similarly employed US workers;
- 3) That the employment of O-1 workers will not adversely affect the working conditions of workers similarly employed in the area of intended employment;
- 4) That on the date this form is signed and submitted, there is no strike, lockout or work stoppage in the course of a labor dispute in the occupation in which the O-1 worker will be employed at the place of employment;
- 5) That the reasonable cost of return transportation to the O-1's home country will be covered by the employer in the event employment is terminated prior to the ending date on the O-1 petition submitted to US Citizenship and Immigration Services. (See dates entered in part 1)

Required Signatures:

Faculty Advisor/Supervisor Signature: _____ Date: _____

Name and Title of Faculty Advisor/Supervisor: _____

Department Chair/Head or Dean Signature: _____ Date: _____

Name and Title of Department Chair/ Head or Dean: _____

Any misrepresentation of facts or failure to comply may result in the following, affecting all of UA:

- Assessment of back pay for the foreign national and/or other employees in the occupational classification
- Maximum of \$1000 fine for each violation
- A prohibition of the filing of immigrant or nonimmigrant visa petitions with USCIS for at least one year
- Federal criminal penalties for knowing and willful submission of false statements to the Federal Government of \$10,000 and/or imprisonment of up to 5 years

**O-1 PACKET
APPENDIX B
EXPORT CONTROL
FORM**

OVERVIEW OF EXPORT CONTROL REQUIREMENT FOR O-1

In support of your request for O-1 sponsorship, Capstone International Services is required to certify on the USCIS I-129 petition whether or not a license for export controlled technology or technical data is necessary for the professor/researcher being sponsored. To facilitate this requirement, we ask your assistance in completing the questionnaire below, which will allow CIS to begin filing O-1 support. In addition, the Research Compliance Office will review the form and if necessary will confer with the sponsored-individual and appropriate supervisor or administrator to determine whether a license under EAR or ITAR would be required. The license acquisition process would be initiated in parallel to O-1 sponsorship. Any future changes or extensions of the sponsored O-1 worker's employment must also be reviewed for compliance. For more information about Export Control: http://osp.ua.edu/site/RC_ExC.html.

SECTION 1: PROSPECTIVE O-1 EMPLOYEE AND DEPARTMENT DETAILS	
O-1 Employee's Name: _____	
Employee's Country of Citizenship: _____ Employee's Country of Birth: _____	
PI/Supervisor's Name: _____	
School/Division: _____ Department: _____	

SECTION 2: EXPORT CONTROL QUESTIONS	
<p>1. Is the nature of the O-1 Employee's work confidential/proprietary (i.e. the results of the work/research projects <i>are not</i> intended for teaching, publication, or to be otherwise shared broadly with the interested public and within the scientific community)?</p>	<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>2. In the course of his/her employment, do you anticipate that the O-1 Employee will be provided access to:</p> <p>a. any UA-owned technical data or technology that is considered proprietary or confidential to The University of Alabama?</p>	<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>b. any third party-owned technical data or technology that is considered proprietary or confidential to the third party owner? This includes U.S. government furnished technical data with dissemination controls or other restrictive markings, as well as ITAR-controlled software, and information restricted under an NDA.</p>	<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>c. equipment specifically designed or developed for military or space applications? (see U.S. Munitions List under 22 CFR § 121).</p>	<input type="checkbox"/> YES <input type="checkbox"/> NO
<p>Please sign and submit to Capstone International Services with the O-1 application packet. While CIS can begin processing O-1 support with this form, please be aware that CIS will send this form for a secondary review to Tanta Myles in the Research Compliance Office, 358 Rose Administration Building 205-348-8461, cmyles@fa.ua.edu.</p>	

REQUIRED SIGNATURES	
<p><i>We attest that the information being provided at this time is true. If at this time no export license is required and, during the course of his/her employment at UA, there are any changes to the O-1 Scholar's work that would require a license, we will contact the Research Compliance Office and Capstone International Services.</i></p>	
<p>PI/Supervisor: _____</p>	<p>Date: _____</p>
<p>Chair/Director or Dean: _____</p>	<p>Date: _____</p>

<input type="checkbox"/> A license for Export Control is <u>not</u> required for this scholar.
<input type="checkbox"/> A license for Export Control <u>is</u> required for this scholar, and that process has been initiated.
<p>Research Compliance Office: _____ Date: _____</p>

**O-1 PACKET
APPENDIX C
TEMPLATE FOR
SUPPLEMENTAL
DEPARTMENT
SUPPORT LETTER**

[PRINT ON DEPARTMENTAL LETTERHEAD]

U.S. Citizenship and Immigration Services
Vermont Service Center
75 Lower Welden Street
St. Albans, VT 05479

Re: Petition for O-1 Nonimmigrant Worker
Petitioner: The University of Alabama
Beneficiary: Dr. _____

Dear Sir or Madam:

This letter is written in support of the University of Alabama's petition to qualify Dr. _____ as an O-1 nonimmigrant worker.

We currently have an opening for the position of _____ in the Department of _____ to perform the following duties: ***include job duties/responsibilities here.***

The minimum requirements for this position are a (***insert degree PhD, Master's, Bachelor's etc***) or related field. ***Include next sentence only if appropriate.*** In addition, the candidate must have # of years of experience in field of study or a similar field.

Dr. _____ is an ideal candidate for the position. Dr. _____ has (***detail degree and qualifications here***)

In the event that Dr. _____ is dismissed prior to the end of the period requested for O-1 visa status, I verify that The University of Alabama will be responsible for reasonable costs of the return transportation abroad.

It is our intention that Dr. _____ be able to accept the position of _____ and start employment pursuant to the O-1 visa on appointment start date or date on which O-1 visa will start, for a period of period of time up to three years years. I respectfully request that the petition to qualify him/her as an O-1 professional be approved.

Sincerely,

Department Chair

**O-1 PACKET
APPENDIX D:
FILING FEE
REQUEST**

Instructions for Hiring Department to Request UA check(s) be Issued for USCIS Fee Payment

Required fees:

\$460.00	Form I-129, O-1 petition
\$1225.00	Form I-907, Premium Processing, if requested, if applicable

Below are the procedures for requesting UA checks to be issued for fee payment:

- *Email a copy of all MDV requests to International Services for review prior to the department submitting the forms to Accounts Payable for processing.
- * **Do not submit** the MDV to Accounts Payable until the forms have been reviewed by International Services.
- * Accounts Payable will notify our office when the checks have been issued.
- * Each fee must be paid by a **separate** check and MDV.
- * Please attach this page of the departmental request to the MDV for proper documentation.

Miscellaneous Disbursement Voucher

Departmental Account: **Account Code: 781323 Vendor Number: 11074158 Banner Address type: RT**
Banner Address Sequence: 2

Note and highlight the following on the MDV:

Address for fees (**DO NOT MAIL CHECKS** - Contact Charter Morris, charter.morris@ua.edu, Capstone International Services, 348-5402):

U.S. Department of Homeland Security
U.S. Citizenship and Immigration Services
Vermont Service Center
75 Lower Welden Street
St. Albans, VT 05479

Further instructions for individual fee payment: USCIS requires a separate check for **each** fee

I-129 Petition for O-1 Fee

Amount: \$460.00
Payable to: Department of Homeland Security
Description: Employer filing fee for O-1—beneficiary (employee's name)

I-907 Premium Processing Fee, if applicable

Amount: \$1225.00
Payable to: Department of Homeland Security
Description: Premium processing of O-1 petition for (employee's name)

O-1 Instructions and Application Packet

PART 1: O-1 APPLICANT DEMOGRAPHIC INFORMATION

NAME AND RESIDENCE INFORMATION:

Full Name (as in passport): _____
Family Name (Surname) Given Name (First) Middle Name (if any)

All other names used : _____
(Include maiden name and names from all previous marriages)

Place of Birth (required): _____ : _____ : _____
City Province/ Territory Country

Citizenship & Residence (required): _____ : _____
Country of Citizenship Country of Permanent Residence

Date of Birth (Month/Day/Year): _____ Gender : Male Female

CONTACT INFORMATION:

Current Address: _____

E-mail address: _____ Phone Number: _____

UNIVERSITY OF ALABAMA POSITION INFORMATION:

(Please provide contact information for your intended employer at the University of Alabama)

UA Academic Department: _____ Supervisor's Name: _____

PART 2: FOR APPLICANTS CURRENTLY IN THE UNITED STATES ONLY

Current Immigration Status (i.e. F-1, J-1, H-1B) : _____ Date Current Status Expires (M/D/Y): _____

If you are in O-1 Status with an employer other than UA, are you currently employed?: YES or NO.

Employer's Name and Address: _____

Expected termination date of current O-1 Employment (Month/ Day/ Year): _____

Date of Last Arrival (stamped on passport or recorded on I-94 record) (Month/Day/Year): _____

I-94 # (Eleven Digit Number on the White Card in Passport or Electronic I-94): _____

Are you currently on F-1 Optional Practical Training (OPT)? YES or NO.

If YES, List dates of OPT (Month/ Day/ Year): _____ through _____

Do you have a spouse/children who will file for O-3 status with this petition? YES or NO.

PART 3: IMMIGRATION INFORMATION & HISTORY

Passport # : _____ Passport Country: _____
Passport Issue Date (Month/Day/Year): _____ Passport Expiry Date (Month/Day/Year): _____
U.S. Social Security Number (If any): _____
A/Alien/USCIS # - if any. (A/Alien/USCIS # is listed on EAD Cards and on any I-140 or I-485 Notices) : _____
At which consulate will you apply for your O-1 Visa stamp, either now or the next time you travel abroad?
City (outside of the U.S.): _____ Country: _____

US VISA HISTORY INFORMATION:

Have you ever been in the United States in any non-immigrant status? YES or NO.
If YES, please list all time in the US in non-immigrant visa status. If more space is needed, please attach a separate page:
1. Immigration Status (i.e. F-1, J-1, H-4): _____ Dates of Stay (M/D/Y): _____ through _____
2. Immigration Status (i.e. F-1, J-1, H-4): _____ Dates of Stay (M/D/Y): _____ through _____
Have you ever been in the United States on a J Visa (J-1 or J-2)? YES or NO.
Are/were you subject to the 212(e), 2-Year Residency Requirement (if currently/previously on J-Visa)? YES or NO.
If subject to 212(e), what is the current status? (Please check one): Applied for waiver
 Received waiver (Please Include Proof of Waiver)
 Completed requirement in home country
 None of the above
Have you ever been denied an O-1? YES or NO.
Do you have any pending immigrant petitions (Permanent Residency Application)? YES or NO.

SUPPORTING DOCUMENTATION TO BE SUBMITTED TO CAPSTONE INTERNATIONAL SERVICES

- Copy/Scan of Current CV/ Resume
- Copy/Scan of all university-level academic degrees, diplomas, and transcripts/mark sheets.
 - Diplomas and transcripts/mark sheets do not have to be originals
 - Documents not in English must be translated by someone competent to do so
 - Degrees or documents issued by non-U.S. institutions will have to have a foreign credential evaluation
- Copies/Scans of 3 most recent pay stubs/ paycheck notifications if currently employed in the United States
- Copy/Scans of ALL current and previous immigration documents:
 - Passport Identification Pages**
 - Current/ most recent Visa Stamp **
 - I-94 record printout from <https://i94.cbp.dhs.gov/I94/request.html>**
 - All previous I-20s, DS-2019's, and H-1B/H-4/O-1 I-797 Approval Notices, etc.**
 - Employment Authorization Card (e.g. EAD Card for F-1 OPT or J-2 EAD Card)**
- Supporting Evidence for O-1 Qualifications (outlined on pages 3 & 4 of this packet)
- O-3 Application: If you have dependent family members who are already in the U.S. in O-3 status or on another visa type, and you would like to change/extend their status as an O-3 dependent, include the following:
 - Form I-539 (available at <http://www.uscis.gov/i-539> - do not file electronically, e.g. E-File) and check for \$370 made payable to **Department of Homeland Security**
 - Include the above starred (**) items for your family members